

APPENDIX 1 - RISK DISCLOSURE STATEMENT ON SECURITIES BORROWING

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APPENDIX 2

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**APPENDIX 3
[RULE 7.30(21)]**

**MONTHLY RETURNS ON CREDIT FACILITIES
EXTENDED IN RELATION TO MARGIN ACCOUNT**

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**APPENDIX 4 – DECLARATION ON COMPLIANCE FOR REGULATED SHORT SELLING AND
SECURITIES BORROWING AND LENDING
[Rules 8.23(c) and 7.20(c)]**

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APPENDIX 5 – NOTIFICATION REQUIRED IN ORDER TO CARRY OUT MARKET MAKING AND PERMITTED SHORT SELLING AND DECLARATION REQUIRED FOR PERMITTED SHORT SELLING

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**APPENDIX 6 – DECLARATION ON DIRECT BUSINESS TRANSACTION
[RULE 10.09(2)(b)]**

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